

FORM PTO-1390 (REV. 5-93)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NUMBER 10191/1962
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/913376</b>
INTERNATIONAL APPLICATION NO. PCT/DE99/04045	INTERNATIONAL FILING DATE (21.12.99) 21 December 1999	PRIORITY DATE(S) CLAIMED (11.02.99) 11 February 1999
TITLE OF INVENTION <b>METHOD AND RECEIVER FOR RECEIVING A RADIO BROADCAST SIGNAL HAVING ENCODED CONTENTS</b>		
APPLICANT(S) FOR DO/EO/US <b>Matthias HERRMANN</b>		
<p>Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information</p> <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) immediately rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unsigned).</p> <p>10. <input checked="" type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<p><b>Items 11. to 16. below concern other document(s) or information included:</b></p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> A substitute specification and a marked up version thereof.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: International Search Report; International preliminary Examination Report; and Form PCT/RO/101 (English translations).</p>		

U.S. APPLICATION NO. if known, see 37 CFR 1.5 <b>09/913376</b>	INTERNATIONAL APPLICATION NO. PCT/DE99/04045	ATTORNEY'S DOCKET NUMBER 10157-1962
17. <input checked="" type="checkbox"/> The following fees are submitted:		<b>CALCULATIONS</b>   <b>PTO USE ONLY</b>
<p><b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b>      Search Report has been prepared by the EPO or JPO ..... \$860.00      International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$690.00      No international preliminary examination fee paid to USPTO (37 CFR 1.482) but      international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$710.00      Neither international preliminary examination fee (37 CFR 1.482) nor international      search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,000.00      International preliminary examination fee paid to USPTO (37 CFR 1.482) and all      claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00</p>		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$ 860
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months      from the earliest claimed priority date (37 CFR 1.492(e)).</p>		
Claims	Number Filed	Number Extra
Total Claims	13 - 20 =	0
Independent Claims	2 - 3 =	0
Multiple dependent claim(s) (if applicable)		+ \$270.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$ 860
<p>Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must      also be filed. (Note 37 CFR 1.9, 1.27, 1.28).</p>		
<b>SUBTOTAL =</b>		\$ 860
<p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30      months from the earliest claimed priority date (37 CFR 1.492(f)).</p>		
<b>TOTAL NATIONAL FEE =</b>		\$ 860
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be      accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p>		
<b>TOTAL FEES ENCLOSED =</b>		\$ 860
		Amount to be: refunded \$
		charged \$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.      b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>11-0600</u> in the amount of \$860.00 to cover the above fees. A duplicate copy of this      sheet is enclosed.      c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit      Account No. <u>11-0600</u>. A duplicate copy of this sheet is enclosed.</p>		
<p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must      be filed and granted to restore the application to pending status.</p>		
<p>SEND ALL CORRESPONDENCE TO:</p> <p style="text-align: right;">Richard L. Mayer Signature</p> <p>Richard L. Mayer, Reg. No. 22,490 NAME</p> <p>8/13/2001 DATE</p> <p>By AL Reg. No. 33,865 Aaron C. Dep't RH</p>		
<p>Kenyon &amp; Kenyon One Broadway New York, New York 10004 <b>CUSTOMER NO. 26646</b></p>		

09/913376

## EXPRESS MAIL CERTIFICATE

"EXPRESS MAIL" MAILING LABEL NUMBER

E2445043428

DATE OF DEPOSIT

8/13/01

TYPE OF DOCUMENT

National Phase Patent Application

Re: Hassman, Matthias

SERIAL NO. 10/684,800 FILING DATE 7/16/03

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE, BY BEING HANDED TO A POSTAL CLERK OR BY BEING PLACED IN THE EXPRESS MAIL BOX BEFORE THE POSTED DATE OF THE LAST PICK UP, AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

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Mark Sordak

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